

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(p))

Docket No.
14356Z

In Re Application Of: **Mikio ODA, et al.**

MAY 20 2004

Serial No.
10/681,019

Filing Date
October 8, 2003

Examiner
Unassigned

Group Art Unit
2812

Title: **OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

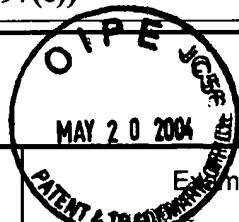
OR

the fee set forth in 37 CFR 1.17(p).

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Docket No.
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Serial No. 10/681,019	Filing Date October 8, 2003	Examiner Unassigned	Group Art Unit 2812
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OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **19-1013 SSMP** as described below.
- Charge the amount of _____
- Credit any overpayment.
- Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **MAY 18, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Paul J. Esatto, Jr., Reg. No. 30,749
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cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mikio Oda, et al. **Examiner:** Unassigned
Serial No: 10/681,019 **Art Unit:** 2812
Filed: October 8, 2003 **Docket:** 14356Z
For: OPTICAL CIRCUIT
IN WHICH FABRICATION IS EASY **Dated:** May 18, 2004

Confirmation No. 6178

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

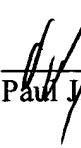
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Canadian Patent Application 2,299,832 dated September 4, 2000.
2. Canadian Patent Application 2,288,920 dated June 24, 2000.
3. Canadian Patent Application 2,230,664 dated July 15, 1998.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 18, 2004.

Dated: May 18, 2004


Paul J. Esatto, Jr.

4. Canadian Patent Application 2,277,471 dated July 16, 1998.
5. Canadian Patent 1,277,525 dated December 11, 1990.
6. U.S. Patent 6,075,239 dated June 13, 2000 to Aksyuk et al.

The references were cited in an Office Action dated March 19, 2004 received from the Canadian Patent Office. Applicants are submitting a copy of the Office Action together with references 1-5.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference.

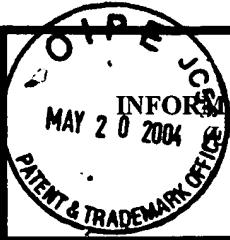
The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,


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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.